NTRK, RET, BRAF, and ALK Fusions in Thyroid Fine-Needle Aspirates (FNAs)

1. Department of Endocrine Neoplasia and Hormonal Disorders, University of Texas M. D. Anderson Cancer Center, Santa Monica, CA. 3. Department of Otolaryngology-Head and Neck Surgery, University of Arkansas for Medical Sciences, Little Rock, AR. 4. Department of Pathology Subspecialty, Massachusetts General Hospital, Harvard Medical School, Boston, MA. 5. Departments of Pathology, The Johns Hospital, Baltimore, MD. 6. Department of Surgery, The Medical College of Wisconsin. Milwaukee, WI. 8. Research and Development, Veracyte, Inc., South San Francisco, CA. 9. Departments of Clinical Affairs, Research and Development, Veracyte, Inc., South San Francisco, CA. **10.** Department of Medical Affairs, Veracyte, Inc., South San Francisco, CA.

INTRODUCTION

Receptor tyrosine kinase fusions are being targeted for small molecule inhibitors to treat late-stage cancers, including advanced thyroid cancer (Figure 1). *NTRK* fusion inhibitors have received pan-cancer FDA approval. Clinical trials have included selective inhibitors of ALK, BRAF, NTRK and RET. The Afirma Genomic Sequencing Classifier and Xpression Atlas report 130 fusion pairs across Bethesda III-VI nodules, including these fusions. Here we report their prevalence in real-world clinical practice.

METHODS

Anonymized data from 47,695 consecutive Bethesda III-VI nodule FNA samples submitted to Veracyte for molecular analysis were analyzed. Except for *RET/PTC*, gene pairs are listed alphabetically.

RESULTS

Overall, 425 (0.89%) of all FNAs harbored an ALK, BRAF, NTRK, or RET fusion (Figure 2). NTRK fusions were the most common at 0.38% of all FNAs, followed by *RET* (0.32%), *BRAF* (0.13%), and ALK (0.06%) (Figure 2). The most common fusion observed for each was: ETV6/NTRK3 (81% of NTRK), *RET/PTC1* (64.2% of *RET*), *BRAF/SND1* (47.5% of BRAF), and ALK/STRN (63.3% of ALK) (Figure 3). *RET* showed the highest diversity of fusions, with 8 unique fusion partners: *RET/PTC1, RET/PTC3* (29.8%), ERC1/RET (1.99%), RET/RFP (1.32%) with one observation of each of the remaining 4 partners (RET/PTC2, RET/PTC7, AKAP13/RET, FKBP15/RET).

NTRK fusions were observed in 0.28%, 0.57%, 1.88%, and 1.7% among Bethesda III, IV, V, and VI, respectively (p < 0.001). *RET* fusions were observed in 0.18%, 0.37%, 4.13%, and 2.43%, respectively (p < 0.001). *BRAF* and *ALK* fusions showed similar trends, although ALK fusions were not observed in Bethesda VI. (See Table 1)



In non-neoplastic cells, extracellular signals bind to and activate receptor tyrosine kinases. Those kinases in turn activate RAS, which activates BRAF, which activates MEK. MEK phosphorylates ERK, and phospho ERK translocates into the nucleus, activating a transcriptional response. Fusions have been observed in some of the key signaling proteins in this pathway, which uncouples the kinase domain from key regulatory domains within the protein; these genes are demarcated with a red circle. These fusion genes constitutively activate the signaling pathway in the absence of extracellular signals, which drives neoplastic growth. Because these are key drivers of tumor growth, these fusion kinases have been heavily targeted for selective therapeutic small molecules, with many in either clinical trials or approved by the FDA.

TABLE 1.

NTRK1/

Mimi I. Hu, MD¹; Masha J. Livhits. MD²; Brendan C. Stack, Jr. MD³; Steven I. Sherman, MD¹; Peter Sadow, MD, PhD⁴; Syed Ali, MD⁵; Jeffrey F. Krane, MD, PhD⁶; Douglas B. Evans, MD⁷; Yangyang Hao, PhD⁸; Joshua E. Babiarz, PhD⁸; Giulia C. Kennedy, PhD⁹; Richard T. Kloos, MD¹⁰

The frequency of key kinase fusions across Bethesda categories from 47,695 consecutive FNAs.

	Bethesda III (n = 36,476)	Bethesda IV (n = 8,630)	Bethesda V (n = 732)	Bethesda VI (n = 781)
NTRK3	0.28%	0.57%	1.88%	1.70%
T	0.18%	0.37%	4.13%	2.43%
4F	0.09%	0.19%	0.88%	0.36%
K	0.05%	0.12%	0.13%	0.00%





FIGURE 2. Sankey Diagram of BRAF, RET, ALK, NTRK3, and NTRK1.

On the left are partner genes, and on the right are the key kinase genes. The thickness of vertical bar denotes the number of each partner observed. The thickness of the grey connection reveals the proportion each partner makes. Two partners have multiple connections (ERC1 to BRAF and *RET*; *TFG* to *NTRK1* and *ALK*).

EML4 (n = 9)					
STRN (n = 20)	ALK (n = 30)				
AGK (n = 15)					
 CCNY (n = 3) MACF1 (n = 2) 					
MKRN1 (n = 10) — POR (n = 1)	BRAF ($n = 61$)				
SND1 (n = 29)					
TFG (n = 8)					
 IRF2BP2 (n = 1) SQSTM1 (n = 1) 	NTRK1 (n = 24)				
TPM3 $(n = 14)$					
\rightarrow TPR (n = 1)					
ETV6 (n = 148)	NTRK3 (n = 159)				
\blacksquare ERC1 (n = 4)					
RBPMS (n = 11) — AKAP13 (n = 1) — FKBP15 (n = 1)					
PTC1 (n = 97)	RET (n = 151)				
- PTC2 (n = 1)					
PTC3 (n = 45)					
 PTC7 (n = 1) RFP (n = 2) 					

FIGURE 3. Key kinase fusions, and the location and frequency of their fusion partners.





CONCLUSIONS

Whole-transcriptome RNA-seq demonstrated differences in the prevalence of ALK, BRAF, NTRK, and RET fusions across Bethesda categories with Bethesda V being the highest, suggesting that prevalence of malignancy may not be the only predictor of fusion occurrence. Future studies need to determine if detection of precision medicine candidates at pre-operative FNA can optimize initial treatment, predict response to treatment, and prioritize selective targeted therapy should systemic treatment be needed.







Each key kinase gene is shown with the kinase domain highlighted in pink. The exon structure of the gene is shown below. Each fusion is shown at it's location, and the height of the bar indicates the number of fusions observed at that position. The gene name of the partner is shown at the top of each bar.

(A) ALK fusions. The most frequently observed fusion partner was STRN.

(B) BRAF fusions. The most frequently observed fusion partner was SND1.

(C) *NTRK1* fusions. The most frequently observed fusion partner was *TPM3*.

(D) *NTRK3* fusions. The most frequently observed fusion partner was *ETV6*.

(E) RET fusions. The most frequently observed fusion partner was PTC1 (CCDC6).

Other RET gene partners are PTC2 (PRKAR1A), PTC3 (NCOA4), PTC7 (TRIM33), RFP (TRIM27).